

jc784 U.S. PTO
02/15/02

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PTO/SB/05 (03-01)
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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 5487P001

First Inventor or Application Identifier Christopher Collings

Title SYSTEM AND METHOD FOR ELECTRONIC COMMERCE

Express Mail Label No. EL 651890537US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

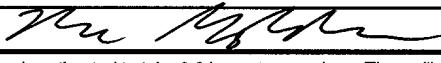
ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- | | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original, and a duplicate for fee processing) | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) |
| 2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. | 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) |
| 3. <input checked="" type="checkbox"/> Specification Total Pages 15 (preferred arrangement set forth below) | a. <input type="checkbox"/> Computer Readable Form (CFR) |
| - Descriptive title of the Invention | b. <input type="checkbox"/> Specification Sequence Listing on: |
| - Cross References to Related Applications | i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or |
| - Statement Regarding Fed sponsored R & D | ii. <input type="checkbox"/> Paper |
| - Reference to sequence listing, a table, or a computer program listing appendix | c. <input type="checkbox"/> Statement verifying identity of above copies |
| - Background of the Invention | |
| - Brief Summary of the Invention | |
| - Brief Description of the Drawings (if filed) | |
| - Detailed Description | |
| - Claim(s) | |
| - Abstract of the Disclosure | |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C.113) Total Sheets 3 | |
| 5. Oath or Declaration Total Pages 3 | |
| a. <input checked="" type="checkbox"/> Newly executed (original or copy) | 9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) |
| b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) | 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee) |
| i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). | 11. <input type="checkbox"/> English Translation Document (if applicable) |
| 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76. | 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO - 1449 <input type="checkbox"/> Copies of IDS Citations |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: _____ / _____ Prior application Information: Examiner _____ Group/Art Unit: _____ | 13. <input type="checkbox"/> Preliminary Amendment |
| For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. | 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) |
| | 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) |
| | 16. <input type="checkbox"/> Nonpublication Request under 35 USC 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent |
| | 17. <input type="checkbox"/> Other: _____ |

17. CORRESPONDENCE ADDRESS

| | | | |
|-----------------------------------------------------------------------|-------------------------------------------------------------------------------------|-----------|-------------------------------------------------------|
| <input checked="" type="checkbox"/> Customer Number of Bar Code Label |  | *08791* | <input type="checkbox"/> Correspondence address below |
| Name | BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP | | |
| Address | 12400 Wilshire Boulevard, Seventh Floor | | |
| City | Los Angeles | State | California |
| Country | U.S.A. | Telephone | (310) 207-3800 |
| Zip Code | 90025 | | |
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Name (Print/Type) Mark A. Goldstein, Reg. No. P50,759

Signature  Date 02/15/02

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

1044.00

Complete if Known

| | |
|------------------------|------------------------------|
| Application Number | |
| Filing Date | 02/15/02 |
| First Named Inventor | Christopher Collings, et al. |
| Examiner Name | |
| Group Art Unit | |
| Attorney Docket Number | 5487P001 |

METHOD OF PAYMENT (check one)

 Check Credit Card Money Order Other None
 Deposit Account

Deposit Account Number **02-2666**
 Deposit Account Name **Blakely, Sokoloff, Taylor & Zafman**

The Commissioner is authorized to: (check all that apply)

- Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application.
 Charge fee(s) indicated below, except for the filing fee, to the above-identified deposit account

FEE CALCULATION (continued)

3. ADDITIONAL FEE

| Large Entity | Small Entity | Fee Description | Fee Paid |
|---------------------------|---------------|-----------------------------------------------------------------------------------|----------|
| Fee Code (\$) | Fee Code (\$) | Fee Description | |
| 105 | 130 | 205 65 Surcharge - late filing fee or oath | |
| 127 | 50 | 227 25 Surcharge - late provisional filing fee or cover sheet. | |
| 139 | 130 | 139 130 Non-English specification | |
| 147 | 2,520 | 147 2,520 For filing a request for ex parte reexamination | |
| 112 | 920 | 112 920 Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840 | 113 1,840 Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 55 Extension for response within first month | |
| 116 | 400 | 216 200 Extension for response within second month | |
| 117 | 920 | 217 460 Extension for response within third month | |
| 118 | 1,440 | 218 720 Extension for response within fourth month | |
| 128 | 1,960 | 228 980 Extension for response within fifth month | |
| 119 | 320 | 219 160 Notice of Appeal | |
| 120 | 320 | 220 160 Filing a brief in support of an appeal | |
| 121 | 280 | 221 140 Request for oral hearing | |
| 138 | 1,510 | 138 1,510 Petition to institute a public use proceeding | |
| 140 | 110 | 240 55 Petition to revive - unavoidably | |
| 141 | 1,280 | 241 640 Petition to revive - unintentionally | |
| 142 | 1,280 | 242 640 Utility issue fee (or reissue) | |
| 143 | 460 | 243 230 Design issue fee | |
| 144 | 620 | 244 310 Plant issue fee | |
| 122 | 130 | 122 130 Petitions to the Commissioner | |
| 123 | 50 | 123 50 Petitions related to provisional applications | |
| 126 | 180 | 126 180 Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 40 Recording each patent assignment per property (times number of properties) | 40 |
| 146 | 740 | 246 370 Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 | 740 | 249 370 For each additional invention to be examined (37 CFR 1.129(b)) | |
| 179 | 740 | 279 370 Request for Continued Examination (RCE) | |
| 169 | 900 | 169 900 Request for expedited examination of a design application | |
| Other fee (specify) _____ | | | |

*or number of previously paid, if greater. For Reissues, see above

SUBTOTAL (3) (\$)

40.00

| SUBMITTED BY | | | | Complete (if applicable) | |
|-----------------------|-------------------------------------------------------------------------------------|------|----------|--------------------------|---------|
| Typed or Printed Name | Mark A. Goldstein, Reg. No. P50,759 | | | Reg. Number | |
| Signature |  | Date | 02/15/02 | Deposit Account User ID | 02-2666 |

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